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METHOD AND SYSTEM FOR NETWORK MANAGEMENT WITH TOPOLOGY

SYSTEM PROVIDING HISTORICAL TOPOLOGICAL VIEWS

BACKGROUND OF THE INVENTION

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1.   Field of the Invention

The present invention relates to an improved data processing system and, in particular, to a method and system for multiple computer or process coordinating. Still more

10   particularly, the present invention provides a method and system for network management.

2.   Description of Related Art

Technology expenditures have become a significant

15   portion of operating costs for most enterprises, and businesses are constantly seeking ways to reduce information technology (IT) costs. This has given rise to an increasing number of outsourcing service providers, each promising, often contractually, to deliver reliable service while

20   offloading the costly burdens of staffing, procuring, and maintaining an IT organization. While most service providers started as network pipe providers, they are moving into server outsourcing, application hosting, and desktop management. For those enterprises that do not outsource,

25   they are demanding more accountability from their IT organizations as well as demanding that IT is integrated into their business goals. In both cases, "service level agreements" have been employed to contractually guarantee service delivery between an IT organization and its

30   customers. As a result, IT teams now require management solutions that focus on and support "business processes" and "service delivery" rather than just disk space monitoring

and network pings.

IT solutions now require end-to-end management that includes network connectivity, server maintenance, and application management in order to succeed. The focus of IT
5   organizations has turned to ensuring overall service delivery and not just the "towers" of network, server, desktop, and application. Management systems must fulfill two broad goals: a flexible approach that allows rapid deployment and configuration of new services for the
10   customer; and an ability to support rapid delivery of the management tools themselves. A successful management solution fits into a heterogeneous environment, provides openness with which it can knit together management tools and other types of applications, and a consistent approach
15   to managing all of the IT assets.

With all of these requirements, a successful management approach will also require attention to the needs of the staff within the IT organization to accomplish these goals: the ability of an IT team to deploy an appropriate set of
20   management tasks to match the delegated responsibilities of the IT staff; the ability of an IT team to navigate the relationships and effects of all of their technology assets, including networks, middleware, and applications; the ability of an IT team to define their roles and
25   responsibilities consistently and securely across the various management tasks; the ability of an IT team to define groups of customers and their services consistently across the various management tasks; and the ability of an IT team to address, partition, and reach consistently the
30   managed devices.

Many service providers have stated the need to be able to scale their capabilities to manage millions of devices. When one considers the number of customers in a home

consumer network as well as pervasive devices, such as smart mobile phones, these numbers are quickly realized. Significant bottlenecks appear when typical IT solutions attempt to support more than several thousand devices.

5   Given such network spaces, a management system must be very resistant to failure so that service attributes, such as response time, uptime, and throughput, are delivered in accordance with guarantees in a service level agreement. In addition, a service provider may attempt to support many

10   customers within a single network management system. The service provider's profit margins may materialize from the ability to bill usage of a common management system to multiple customers.

       On the other hand, the service provider must be able to

15   support contractual agreements on an individual basis. Service attributes, such as response time, uptime, and throughput, must be determinable for each customer. In order to do so, a network management system must provide a suite of network management tools that is able to perform

20   device monitoring and discovery for each customer's network while integrating these abilities across a shared network backbone to gather the network management information into the service provider's distributed data processing system.

       Hence, there is a direct relationship between the

25   ability of a management system to provide network monitoring and discovery functionality and the ability of a service provider using the management system to serve multiple customers using a single management system. Preferably, the management system can replicate services, detect faults

30   within a service, restart services, and reassign work to a replicated service. By implementing a common set of interfaces across all of their services, each service developer gains the benefits of system robustness. A

well-designed, component-oriented, highly distributed system can easily accept a variety of services on a common infrastructure with built-in fault-tolerance and levels of service.

Distributed data processing systems with thousands of nodes are known in the prior art, and there are commercially available software packages that provide enterprises with the necessary functionality for managing such networks. Typically, the network management system configures a security context for the network administrator by assigning a specific class of privileges to the administrator based on the administrator's role or group. Within a runtime environment, the administrator can attempt to perform certain administrative functions that are not allowed to be performed by the average user. After the administrator has been authenticated and is authorized to use a particular application, then the system allows the administrator to perform a variety of management actions, which may change the topology of a network. In addition, devices within the network may fail and/or automatically reconfigure, which also results in a change to the topology of the network. Prior art network management packages generally contain some type of topology display application for allowing a system administrator to graphically view the devices within a network and the relationships between those devices.

Given a scenario in which a service provider is using an integrated network management system for multiple customers in a highly distributed system comprising on the order of a million devices, it is most likely that many different individuals will be assigned to manage different customers, different regions, and different groups of devices, each of whom may be taking actions on portions of the network. The task of authenticating and authorizing the

actions of many individuals per customer, per region, per device, etc., becomes quite complex. In addition, with a very large network of more than a million devices, it is also quite difficult to display the network topology.

5   Moreover, while a corporate network or department-level local area network may be relatively stable with a relatively unchanging topology, a very large network may undergo constant change, which elevates the difficulty for a system administrator to understand the dynamic nature of a

10   very large network.

Therefore, it would be particularly advantageous to provide a method and system that supports a flexible network management framework in a highly distributed system. It would be particularly advantageous for the network

15   management system to provide network administrators with a history of network actions and historical views of network topology, thereby providing graphical snapshots of a dynamic network.

SUMMARY OF THE INVENTION

A method, system, apparatus, and computer program
product is presented for management of a distributed data
processing system. Resources within the distributed data
processing system are dynamically discovered, and the
discovered resources are adaptively monitored using the
network management framework. Changes in topology are saved
for review by administrative users, and administrative users
may also review previous network actions that were requested
to be performed by administrative users.

BRIEF DESCRIPTION OF THE DRAWINGS

5   The novel features believed characteristic of the
invention are set forth in the appended claims. The
invention itself, further objectives, and advantages
thereof, will be best understood by reference to the
following detailed description when read in conjunction with
10   the accompanying drawings, wherein:

Figure 1 is a diagram depicting a known logical
configuration of software and hardware resources;

Figure 2A is simplified diagram illustrating a large
distributed computing enterprise environment in which the
15   present invention is implemented;

Figure 2B is a block diagram of a preferred system
management framework illustrating how the framework
functionality is distributed across the gateway and its
endpoints within a managed region;

20   **Figure 2C** is a block diagram of the elements that
comprise the low cost framework (LCF) client component of
the system management framework;

Figure 2D is a diagram depicting a logical
configuration of software objects residing within a hardware
25   network similar to that shown in **Figure 2A**;

Figure 2E is a diagram depicting the logical
relationships between components within a system management
framework that includes two endpoints and a gateway;

Figure 2F is a diagram depicting the logical
30   relationships between components within a system management
framework that includes a gateway supporting two DKS-enabled
applications;

Figure 2G is a diagram depicting the logical relationships between components within a system management framework that includes two gateways supporting two endpoints;

Figure 3 is a block diagram depicting components within the system management framework that provide resource leasing management functionality within a distributed computing environment such as that shown in **Figures 2D-2E**;

Figure 4 is a block diagram showing data stored by a the IPOP (IP Object Persistence) service;

Figure 5A is a block diagram showing the IPOP service in more detail;

Figure 5B is a network diagram depicting a set of routers that undergo a scoping process;

Figure 5C depicts the IP Object Security Hierarchy;

Figure 6 is a block diagram showing a set of components that may be used to implement adaptive discovery and adaptive polling in accordance with a preferred embodiment of the present invention;

Figure 7A is a flowchart depicting a portion of an initialization process in which a network management system prepares for adaptive discovery and adaptive polling in accordance with a preferred embodiment of the present invention;

Figure 7B is a flowchart depicting further detail of the initialization process in which the DSC objects are initially created and stored;

Figure 7C is a flowchart depicting further detail of the initial DSC object creation process in which DSC objects are created and stored for an endpoint/user combination;

Figure 7D is a flowchart depicting further detail of the initial DSC object creation process in which DSC objects are created and stored for an endpoint/endpoint combination;

Figure 8A depicts a graphical user interface window that may be used by a network or system administrator to set monitoring parameters for adaptive monitoring associated with users and endpoints in accordance with a preferred embodiment of the present invention;

Figure 8B is a flowchart showing a process by which the polling time parameters are set in the appropriate DSC objects after polling time parameters have been specified by an administrator;

Figure 8C is a flowchart showing a process by which a polling time property is added to a DSC after polling time parameters have been specified by an administrator;

Figure 8D is a flowchart showing a process for advertising newly specified polling time properties after polling time parameters have been specified by an administrator;

Figure 9A is a flowchart showing a process used by a polling engine to monitor systems within a network after polling time parameters have been specified by an administrator;

Figure 9B is a flowchart showing a process used by a polling engine to get a DSC for a user/endpoint combination;

Figure 9C is a flowchart showing a process used by a polling engine to get a DSC for an endpoint/endpoint combination;

Figure 9D is a flowchart showing a process used by a polling engine to get a DSC from the DSC manager;

Figure 9E is a flowchart showing a process used by a polling engine to queue a polling task;

Figure 9F is a flowchart showing a process used by a polling engine to perform a polling task on an endpoint;

Figure 10 is a block diagram depicting an architecture for supporting the display of topology data within a large, distributed network;

Figure 11A is a flowchart depicting a process for creating historical topology data;

Figure 11B is a flowchart depicting a process for listening for physical network changes that affect topology objects;

Figure 11C is a flowchart depicting a process for rendering historical topology data;

Figure 11D is a flowchart depicting a process for creating historical data for network actions;

Figure 12A is a figure depicting a graphical user interface window that may be used by a network or system administrator to view the topology of a network that is being monitored;

Figure 12B is a block diagram depicting the contents of topology databases at a particular point in time in correspondence with **Figure 12A**;

Figure 12C is a graphical user interface window that shows the topology of a network that has changed;

Figure 12D is a block diagram depicting the contents of topology databases at a particular point in time in correspondence with **Figure 12C**;

Figure 12E is a block diagram depicting the contents of a table within a topology history database at a particular point in time;

Figure 12F is a diagram showing a pop-up box that may be used to display historical information; and

Figure 12G is a figure depicting a graphical user

interface window that shows the topology of a network with two different states.

DETAILED DESCRIPTION OF THE INVENTION

5   The present invention provides a methodology for

managing a distributed data processing system.   The manner

in which the system management is performed is described

further below in more detail after the description of the

preferred embodiment of the distributed computing

10   environment in which the present invention operates.

With reference now to **Figure 1**, a diagram depicts a

known logical configuration of software and hardware

resources.   In this example, the software is organized in an

object-oriented system.   Application object **102**, device

15   driver object **104**, and operating system object **106**

communicate across network **108** with other objects and with

hardware resources **110-114**.

In general, the objects require some type of

processing, input/output, or storage capability from the

20   hardware resources.   The objects may execute on the same

device to which the hardware resource is connected, or the

objects may be physically dispersed throughout a distributed

computing environment.   The objects request access to the

hardware resource in a variety of manners, e.g. operating

25   system calls to device drivers.   Hardware resources are

generally available on a first-come, first-serve basis in

conjunction with some type of arbitration scheme to ensure

that the requests for resources are fairly handled.   In some

cases, priority may be given to certain requesters, but in

30   most implementations, all requests are eventually processed.

With reference now to **Figure 2A**, the present invention

is preferably implemented in a large distributed computer

environment **210** comprising up to thousands of "nodes". The
nodes will typically be geographically dispersed and the
overall environment is "managed" in a distributed manner.
Preferably, the managed environment is logically broken down
5   into a series of loosely connected managed regions (MRs)
212, each with its own management server **214** for managing
local resources with the managed region. The network
typically will include other servers (not shown) for
carrying out other distributed network functions. These
10   include name servers, security servers, file servers, thread
servers, time servers and the like. Multiple servers **214**
coordinate activities across the enterprise and permit
remote management and operation. Each server **214** serves a
number of gateway machines **216**, each of which in turn
15   support a plurality of endpoints/terminal nodes **218**. The
server **214** coordinates all activity within the managed
region using a terminal node manager at server **214**.

With reference now to **Figure 2B**, each gateway machine
216 runs a server component **222** of a system management
20   framework. The server component **222** is a multi-threaded
runtime process that comprises several components: an object
request broker (ORB) **221**, an authorization service **223**,
object location service **225** and basic object adapter (BOA)
227. Server component **222** also includes an object library
25   **229**. Preferably, ORB **221** runs continuously, separate from
the operating system, and it communicates with both server
and client processes through separate stubs and skeletons
via an interprocess communication (IPC) facility **219**. In
particular, a secure remote procedure call (RPC) is used to
30   invoke operations on remote objects. Gateway machine **216**
also includes operating system **215** and thread mechanism **217**.

The system management framework, also termed

distributed kernel services (DKS), includes a client component **224** supported on each of the endpoint machines **218**. The client component **224** is a low cost, low maintenance application suite that is preferably "dataless"

5   in the sense that system management data is not cached or stored there in a persistent manner. Implementation of the management framework in this "client-server" manner has significant advantages over the prior art, and it facilitates the connectivity of personal computers into the

10 managed environment. It should be noted, however, that an endpoint may also have an ORB for remote object-oriented operations within the distributed environment, as explained in more detail further below.

Using an object-oriented approach, the system

15 management framework facilitates execution of system management tasks required to manage the resources in the managed region. Such tasks are quite varied and include, without limitation, file and data distribution, network usage monitoring, user management, printer or other resource

20 configuration management, and the like. In a preferred implementation, the object-oriented framework includes a Java runtime environment for well-known advantages, such as platform independence and standardized interfaces. Both gateways and endpoints operate portions of the system

25 management tasks through cooperation between the client and server portions of the distributed kernel services.

In a large enterprise, such as the system that is illustrated in **Figure 2A**, there is preferably one server per managed region with some number of gateways. For a

30 workgroup-size installation, e.g., a local area network, a single server-class machine may be used as both a server and a gateway. References herein to a distinct server and one

or more gateway(s) should thus not be taken by way of limitation as these elements may be combined into a single platform. For intermediate size installations, the managed region grows breadth-wise, with additional gateways then

5   being used to balance the load of the endpoints.

The server is the top-level authority over all gateway and endpoints. The server maintains an endpoint list, which keeps track of every endpoint in a managed region. This list preferably contains all information necessary to

10   uniquely identify and manage endpoints including, without limitation, such information as name, location, and machine type. The server also maintains the mapping between endpoints and gateways, and this mapping is preferably dynamic.

15   As noted above, there are one or more gateways per managed region. Preferably, a gateway is a fully managed node that has been configured to operate as a gateway. In certain circumstances, though, a gateway may be regarded as an endpoint. A gateway always has a network interface card

20   (NIC), so a gateway is also always an endpoint. A gateway usually uses itself as the first seed during a discovery process. Initially, a gateway does not have any information about endpoints. As endpoints login, the gateway builds an endpoint list for its endpoints. The gateway's duties

25   preferably include: listening for endpoint login requests, listening for endpoint update requests, and (its main task) acting as a gateway for method invocations on endpoints.

As also discussed above, the endpoint is a machine running the system management framework client component,

30   which is referred to herein as a management agent. The management agent has two main parts as illustrated in **Figure 2C**: daemon **226** and application runtime library **228**. Daemon

226 is responsible for endpoint login and for spawning
application endpoint executables. Once an executable is
spawned, daemon **226** has no further interaction with it.
Each executable is linked with application runtime library

5   **228**, which handles all further communication with the
gateway.

Each endpoint is also a computing device. In one
preferred embodiment of the invention, most of the endpoints
are personal computers, e.g., desktop machines or laptops.

10 In this architecture, the endpoints need not be high powered
or complex machines or workstations. An endpoint computer
preferably includes a Web browser such as Netscape Navigator
or Microsoft Internet Explorer. An endpoint computer thus
may be connected to a gateway via the Internet, an intranet,

15 or some other computer network.

Preferably, the client-class framework running on each
endpoint is a low-maintenance, low-cost framework that is
ready to do management tasks but consumes few machine
resources because it is normally in an idle state. Each

20 endpoint may be "dataless" in the sense that system
management data is not stored therein before or after a
particular system management task is implemented or carried
out.

With reference now to **Figure 2D**, a diagram depicts a

25 logical configuration of software objects residing within a
hardware network similar to that shown in **Figure 2A**. The
endpoints in **Figure 2D** are similar to the endpoints shown in
Figure 2B. Object-oriented software, similar to the
collection of objects shown in **Figure 1**, executes on the

30 endpoints. Endpoints **230** and **231** support application action
object **232** and application object **233**, device driver objects
234-235, and operating system objects **236-237** that

communicate across a network with other objects and hardware resources.

Resources can be grouped together by an enterprise into managed regions representing meaningful groups. Overlaid on these regions are domains that divide resources into groups of resources that are managed by gateways. The gateway machines provide access to the resources and also perform routine operations on the resources, such as polling. **Figure 2D** shows that endpoints and objects can be grouped into managed regions that represent branch offices **238** and **239** of an enterprise, and certain resources are controlled by in central office **240**. Neither a branch office nor a central office is necessarily restricted to a single physical location, but each represents some of the hardware resources of the distributed application framework, such as routers, system management servers, endpoints, gateways, and critical applications, such as corporate management Web servers. Different types of gateways can allow access to different types of resources, although a single gateway can serve as a portal to resources of different types.

With reference now to **Figure 2E**, a diagram depicts the logical relationships between components within a system management framework that includes two endpoints and a gateway. **Figure 2E** shows more detail of the relationship between components at an endpoint. Network **250** includes gateway **251** and endpoints **252** and **253**, which contain similar components, as indicated by the similar reference numerals used in the figure. An endpoint may support a set of applications **254** that use services provided by the distributed kernel services **255**, which may rely upon a set of platform-specific operating system resources **256**. Operating system resources may include TCP/IP-type

resources, SNMP-type resources, and other types of resources. For example, a subset of TCP/IP-type resources may be a line printer (LPR) resource that allows an endpoint to receive print jobs from other endpoints. Applications

5   **254** may also provide self-defined sets of resources that are accessible to other endpoints. Network device drivers **257** send and receive data through NIC hardware **258** to support communication at the endpoint.

With reference now to **Figure 2F**, a diagram depicts the

10   logical relationships between components within a system management framework that includes a gateway supporting two DKS-enabled applications. Gateway **260** communicates with network **262** through NIC **264**. Gateway **260** contains ORB **266** that supports DKS-enabled applications **268** and **269**. **Figure**

15   **2F** shows that a gateway can also support applications. In other words, a gateway should not be viewed as merely being a management platform but may also execute other types of applications.

With reference now to **Figure 2G**, a diagram depicts the

20   logical relationships between components within a system management framework that includes two gateways supporting two endpoints. Gateway **270** communicates with network **272** through NIC **274**. Gateway **270** contains ORB **276** that may provide a variety of services, as is explained in more

25   detail further below. In this particular example, **Figure 2G** shows that a gateway does not necessarily connect with individual endpoints.

Gateway **270** communicates through NIC **278** and network **279** with gateway **280** and its NIC **282**. Gateway **280** contains

30   ORB **284** for supporting a set of services. Gateway **280** communicates through NIC **286** and network **287** to endpoint **290** through its NIC **292** and to endpoint **294** through its NIC **296**.

Endpoint **290** contains ORB **298** while endpoint **294** does not

contain an ORB. In this particular example, **Figure 2G** also

shows that an endpoint does not necessarily contain an ORB.

Hence, any use of endpoint **294** as a resource is performed

5   solely through management processes at gateway **280**.

Figures **2F** and **2G** also depict the importance of

gateways in determining routes/data paths within a highly

distributed system for addressing resources within the

system and for performing the actual routing of requests for

10   resources. The importance of representing NICs as objects

for an object-oriented routing system is described in more

detail further below.

As noted previously, the present invention is directed

to a methodology for managing a distributed computing

15   environment. A resource is a portion of a computer system's

physical units, a portion of a computer system's logical

units, or a portion of the computer system's functionality

that is identifiable or addressable in some manner to other

physical or logical units within the system.

20   With reference now to **Figure 3**, a block diagram depicts

components within the system management framework within a

distributed computing environment such as that shown in

Figures 2D-2E. A network contains gateway **300** and endpoints

301 and **302**. Gateway **302** runs ORB **304**. In general, an ORB

25   can support different services that are configured and run

in conjunction with an ORB. In this case, distributed

kernel services (DKS) include Network Endpoint Location

Service (NELS) **306**, IP Object Persistence (IPOP) service

308, and Gateway Service **310**.

30   The Gateway Service processes action objects, which are

explained in more detail below, and directly communicates

with endpoints or agents to perform management operations.

The gateway receives events from resources and passes the events to interested parties within the distributed system. The NELS works in combination with action objects and determines which gateway to use to reach a particular

5   resource. A gateway is determined by using the discovery service of the appropriate topology driver, and the gateway location may change due to load balancing or failure of primary gateways.

Other resource level services may include an SNMP
10   (Simple Network Management Protocol) service that provides protocol stacks, polling service, and trap receiver and filtering functions. The SNMP Service can be used directly by certain components and applications when higher performance is required or the location independence
15   provided by the gateways and action objects is not desired. A Metadata Service can also be provided to distribute information concerning the structure of SNMP agents.

The representation of resources within DKS allows for the dynamic management and use of those resources by
20   applications. DKS does not impose any particular representation, but it does provide an object-oriented structure for applications to model resources. The use of object technology allows models to present a unified appearance to management applications and hide the
25   differences among the underlying physical or logical resources. Logical and physical resources can be modeled as separate objects and related to each other using relationship attributes.

By using objects, for example, a system may implement
30   an abstract concept of a router and then use this abstraction within a range of different router hardware. The common portions can be placed into an abstract router class while modeling the important differences in

subclasses, including representing a complex system with
multiple objects. With an abstracted and encapsulated
function, the management applications do not have to handle
many details for each managed resource. A router usually

5   has many critical parts, including a routing subsystem,
memory buffers, control components, interfaces, and multiple
layers of communication protocols. Using multiple objects
has the burden of creating multiple object identifiers
(OIDs) because each object instance has its own OID.

10   However, a first order object can represent the entire
resource and contain references to all of the constituent
parts.

          Each endpoint may support an object request broker,
such as ORBs **320** and **322**, for assisting in remote

15   object-oriented operations within the DKS environment.
Endpoint **301** contains DKS-enabled application **324** that
utilizes object-oriented resources found within the
distributed computing environment. Endpoint **302** contains
target resource provider object or application **326** that

20   services the requests from DKS-enabled application **324**. A
set of DKS services **330** and **334** support each particular
endpoint.

          Applications require some type of insulation from the
specifics of the operations of gateways. In the DKS

25   environment, applications create action objects that
encapsulate command which are sent to gateways, and the
applications wait for the return of the action object.
Action objects contain all of the information necessary to
run a command on a resource. The application does not need

30   to know the specific protocol that is used to communicate
with the resource. The application is unaware of the
location of the resource because it issues an action object

into the system, and the action object itself locates and moves to the correct gateway. The location independence allows the NELS to balance the load between gateways independently of the applications and also allows the

5   gateways to handle resources or endpoints that move or need to be serviced by another gateway.

The communication between a gateway and an action object is asynchronous, and the action objects provide error handling and recovery. If one gateway goes down or becomes

10   overloaded, another gateway is located for executing the action object, and communication is established again with the application from the new gateway. Once the controlling gateway of the selected endpoint has been identified, the action object will transport itself there for further

15   processing of the command or data contained in the action object. If it is within the same ORB, it is a direct transport. If it is within another ORB, then the transport can be accomplished with a "Moveto" command or as a parameter on a method call.

20   Queuing the action object on the gateway results in a controlled process for the sending and receiving of data from the IP devices. As a general rule, the queued action objects are executed in the order that they arrive at the gateway. The action object may create child action objects

25   if the collection of endpoints contains more than a single ORB ID or gateway ID. The parent action object is responsible for coordinating the completion status of any of its children. The creation of child action objects is transparent to the calling application. A gateway processes

30   incoming action objects, assigns a priority, and performs additional security challenges to prevent rogue action object attacks. The action object is delivered to the gateway that must convert the information in the action

object to a form suitable for the agent. The gateway manages multiple concurrent action objects targeted at one or more agents, returning the results of the operation to the calling managed object as appropriate.

5   In the preferred embodiment, potentially leasable target resources are Internet protocol (IP) commands, e.g. pings, and Simple Network Management Protocol (SNMP) commands that can be executed against endpoints in a managed region. Referring again to **Figures 2F** and **2G**, each NIC at a 10   gateway or an endpoint may be used to address an action object. Each NIC is represented as an object within the IPOP database, which is described in more detail further below.

The Action Object IP (AOIP) Class is a subclass of the 15   Action Object Class. AOIP objects are the primary vehicle that establishes a connection between an application and a designated IP endpoint using a gateway or stand-alone service. In addition, the Action Object SNMP (AOSnmp) Class is also a subclass of the Action Object Class. AOSnmp 20   objects are the primary vehicle that establishes a connection between an application and a designated SNMP endpoint via a gateway or the Gateway Service. However, the present invention is primarily concerned with IP endpoints.

The AOIP class should include the following: a 25   constructor to initialize itself; an interface to the NELS; a mechanism by which the action object can use the ORB to transport itself to the selected gateway; a mechanism by which to communicate with the SNMP stack in a stand-alone mode; a security check verification of access rights to 30   endpoints; a container for either data or commands to be executed at the gateway; a mechanism by which to pass commands or classes to the appropriate gateway or endpoint for completion; and public methods to facilitate the

communication between objects.

The instantiation of an AOIP object creates a logical circuit between an application and the targeted gateway or endpoint. This circuit is persistent until command completion through normal operation or until an exception is thrown. When created, the AOIP object instantiates itself as an object and initializes any internal variables required. An action object IP may be capable of running a command from inception or waiting for a future command. A program that creates an AOIP object must supply the following elements: address of endpoints; function to be performed on the endpoint, class, or object; and data arguments specific to the command to be run. A small part of the action object must contain the return end path for the object. This may identify how to communicate with the action object in case of a breakdown in normal network communications. An action object can contain either a class or object containing program information or data to be delivered eventually to an endpoint or a set of commands to be performed at the appropriate gateway. Action objects IP return back a result for each address endpoint targeted.

Using commands such as "Ping", "Trace Route", "Wake-On LAN", and "Discovery", the AOIP object performs the following services: facilitates the accumulation of metrics for the user connections; assists in the description of the topology of a connection; performs Wake-On LAN tasks using helper functions; and discovers active agents in the network environment.

The NELS service finds a route (data path) to communicate between the application and the appropriate endpoint. The NELS service converts input to protocol, network address, and gateway location for use by action objects. The NELS service is a thin service that supplies

information discovered by the IPOP service. The primary roles of the NELS service are as follows: support the requests of applications for routes; maintain the gateway and endpoint caches that keep the route information; ensure

5   the security of the requests; and perform the requests as efficiently as possible to enhance performance.

For example, an application requires a target endpoint (target resource) to be located. The target is ultimately known within the DKS space using traditional network values,

10 i.e. a specific network address and a specific protocol identifier. An action object is generated on behalf of an application to resolve the network location of an endpoint. The action object asks the NELS service to resolve the network address and define the route to the endpoint in that

15 network.

One of the following is passed to the action object to specify a destination endpoint: an EndpointAddress object; a fully decoded NetworkAddress object; and a string representing the IP address of the IP endpoint. In

20 combination with the action objects, the NELS service determines which gateway to use to reach a particular resource. The appropriate gateway is determined using the discovery service of the appropriate topology driver and may change due to load balancing or failure of primary gateways.

25 An "EndpointAddress" object must consist of a collection of at least one or more unique managed resource IDs. A managed resource ID decouples the protocol selection process from the application and allows the NELS service to have the flexibility to decide the best protocol to reach an

30 endpoint. On return from the NELS service, an "AddressEndpoint" object is returned, which contains enough information to target the best place to communicate with the selected IP endpoints. It should be noted that the address

may include protocol-dependent addresses as well as protocol-independent addresses, such as the virtual private network id and the IPOP Object ID. These additional addresses handle the case where duplicate addresses exist in

5   the managed region.

When an action needs to be taken on a set of endpoints, the NELS service determines which endpoints are managed by which gateways. When the appropriate gateway is identified, a single copy of the action object is distributed to each

10   identified gateway. The results from the endpoints are asynchronously merged back to the caller application through the appropriate gateways. Performing the actions asynchronously allows for tracking all results whether the endpoints are connected or disconnected. If the action

15   object IP fails to execute an action object on the target gateway, NELS is consulted to identify an alternative path for the command. If an alternate path is found, the action object IP is transported to that gateway and executed. It may be assumed that the entire set of commands within one

20   action object IP must fail before this recovery procedure is invoked.

With reference now to **Figure 4**, a block diagram shows the manner in which data is stored by the IPOP (IP Object Persistence) service. IPOP service database **402** contains

25   endpoint database table **404**, system database table **406**, and network database table **408**. Each table contains a set of topological objects (TopoObjects) for facilitating the leasing of resources at IP endpoints and the execution of action objects. Information within IPOP service database

30   **402** allows applications to generate action objects for resources previously identified as IP objects through a discovery process across the distributed computing

environment. **Figure 4** merely shows that the TopoObjects may be separated into a variety of categories that facilitate processing on the various objects. The separation of physical network categories facilitates the efficient

5 querying and storage of these objects while maintaining the physical network relationships in order to produce a graphical user interface of the network topology.

With reference now to **Figure 5A**, a block diagram shows the IPOP service in more detail. In the preferred

10 embodiment of the present invention, an IP driver subsystem is implemented as a collection of software components for discovering, i.e. detecting, IP "objects", i.e. IP networks, IP systems, and IP endpoints by using physical network connections. This discovered physical network is used to

15 create topology data that is then provided through other services via topology maps accessible through a graphical user interface (GUI) or for the manipulation of other applications. The IP driver system can also monitor objects for changes in IP topology and update databases with the new

20 topology information. The IPOP service provides services for other applications to access the IP object database.

IP driver subsystem **500** contains a conglomeration of components, including one or more IP drivers **502**. Every IP driver manages its own "scope", which is described in more

25 detail further below, and every IP driver is assigned to a topology manager within Topology Service **504**, which can serve more than one IP driver. Topology Service **504** stores topology information obtained from discovery controller **506**. The information stored within the Topology Service may

30 include graphs, arcs, and the relationships between nodes determined by IP mapper **508**. Users can be provided with a GUI to navigate the topology, which can be stored within a

database within the Topology Service.

IPOP service **510** provides a persistent repository **512** for discovered IP objects; persistent repository **512** contains attributes of IP objects without presentation

5   information. Discovery controller **506** detects IP objects in Physical IP networks **514**, and monitor controller 516 monitors IP objects. A persistent repository, such as IPOP database **512**, is updated to contain information about the discovered and monitored IP objects. IP driver may use

10   temporary IP data store component **518** and IP data cache component **520** as necessary for caching IP objects or storing IP objects in persistent repository **512**, respectively. As discovery controller **506** and monitor controller **516** perform detection and monitoring functions, events can be written to

15   network event manager application **522** to alert network administrators of certain occurrences within the network, such as the discovery of duplicate IP addresses or invalid network masks.

External applications/users **524** can be other users,

20   such as network administrators at management consoles, or applications that use IP driver GUI interface **526** to configure IP driver **502**, manage/unmanage IP objects, and manipulate objects in persistent repository **512**. Configuration service **528** provides configuration information

25   to IP driver **502**. IP driver controller **530** serves as central control of all other IP driver components.

Referring back to **Figure 2G**, a network discovery engine is a distributed collection of IP drivers that are used to ensure that operations on IP objects by gateways **260**, **270**,

30   and **280** can scale to a large installation and provide fault-tolerant operation with dynamic start/stop or

reconfiguration of each IP driver. The IPOP Service manages discovered IP objects; to do so, the IPOP Service uses a distributed database in order to efficiently service query requests by a gateway to determine routing, identity, or a

5   variety of details about an endpoint. The IPOP Service also services queries by the Topology Service in order to display a physical network or map them to a logical network, which is a subset of a physical network that is defined programmatically or by an administrator. IPOP fault

10   tolerance is also achieved by distribution of IPOP data and the IPOP Service among many Endpoint ORBs.

One or more IP drivers can be deployed to provide distribution of IP discovery and promote scalability of IP driver subsystem services in large networks where a single

15   IP driver subsystem is not sufficient to discover and monitor all IP objects. Each IP driver performs discovery and monitoring on a collection of IP resources within the driver's "scope". A driver's scope, which is explained in more detail below, is simply the set of IP subnets for which

20   the driver is responsible for discovering and monitoring. Network administrators generally partition their networks into as many scopes as needed to provide distributed discovery and satisfactory performance.

A potential risk exists if the scope of one driver

25   overlaps the scope of another, i.e., if two drivers attempt to discover/monitor the same device. Accurately defining unique and independent scopes may require the development of a scope configuration tool to verify the uniqueness of scope definitions. Routers also pose a potential problem in that

30   while the networks serviced by the routers will be in different scopes, a convention needs to be established to specify to which network the router "belongs", thereby limiting the router itself to the scope of a single driver.

Some ISPs may have to manage private networks whose addresses may not be unique across the installation, like 10.0.0.0 network. In order to manage private networks properly, first, the IP driver has to be installed inside the internal networks in order to be able to discover and manage the networks. Second, since the discovered IP addresses may not be unique across an entire installation that consists of multiple regions, multiple customers, etc., a private network ID has to be assigned to the private network addresses. In the preferred embodiment, the unique name of a subnet becomes "privateNetworkId\subnetAddress". Those customers that do not have duplicate networks address can just ignore the private network ID; the default private network ID is 0.

If Network Address Translator (NAT) is installed to translate the internal IP addresses to Internet IP addresses, users can install the IP drivers outside of NAT and manage the IP addresses inside the NAT. In this case, an IP driver will see only the translated IP addresses and discover only the IP addresses translated. If not all IP addresses inside the NAT are translated, an IP driver will not able to discover all of them. However, if IP drivers are installed this way, users do not have to configure the private network within the IP driver's scope.

Scope configuration is important to the proper operation of the IP drivers because IP drivers assume that there are no overlaps in the drivers' scopes. Since there should be no overlaps, every IP driver has complete control over the objects within its scope. A particular IP driver does not need to know anything about the other IP drivers because there is no synchronization of information between IP drivers. The Configuration Service provides the services to allow the DKS components to store and retrieve

configuration information for a variety of other services
from anywhere in the networks. In particular, the scope
configuration will be stored in the Configuration Services
so that IP drivers and other applications can access the

5   information.

The ranges of addresses that a driver will discover and
monitor are determined by associating a subnet address with
a subnet mask and associating the resulting range of
addresses with a subnet priority. An IP driver is a

10   collection of such ranges of addresses, and the subnet
priority is used to help decide the system address. A
system can belong to two or more subnets, such as is
commonly seen with a Gateway. The system address is the
address of one of the NICs that is used to make SNMP

15   queries. A user interface can be provided, such as an
administrator console, to write scope information into the
Configuration Service. System administrators do not need to
provide this information at all, however, as the IP drivers
can use default values.

20   An IP driver gets its scope configuration information
from the Configuration Service, which may be stored using
the following format:

scopeID=driverID,anchorname,subnetAddress:subnetMask[
25   :privateNetworkId:privateNetworkName:subnetPriority][,
subnetAddress:subnetMask:privateNetworkId:privateNetworkName
:subnetPriority]]

Typically, one IP driver manages only one scope.
30   Hence, the "scopeID" and "driverID" would be the same.
However, the configuration can provide for more than one
scope managed by the same driver. "Anchorname" is the name
in the name space in which the Topology Service will put the

IP driver's network objects.

A scope does not have to include an actual subnet
configured in the network. Instead, users/administrators
can group subnets into a single, logical scope by applying a
5   bigger subnet mask to the network address. For example, if
a system has subnet "147.0.0.0" with mask of "255.255.0.0"
and subnet "147.1.0.0" with a subnet mask of "255.255.0.0",
the subnets can be grouped into a single scope by applying a
mask of "255.254.0.0". Assume that the following table is
10   the scope of IP Driver 2. The scope configuration for IP
Driver 2 from the Configuration Service would be:
2=2,ip,147.0.0.0:255.254.0.0,146.100.0.0:255.255.0.0,
69.0.0.0:255.0.0.0.

Subnet address	Subnet mask
147.0.0.0	255.255.0.0
147.1.0.0	255.255.0.0
146.100.0.0	255.255.0.0
69.0.0.0	255.0.0.0

In general, an IP system is associated with a single IP
address, and the "scoping" process is a straightforward
association of a driver's ID with the system's IP address.
20   Routers and multi-homed systems, however, complicate
the discovery and monitoring process because these devices
may contain interfaces that are associated with different
subnets. If all subnets of routers and multi-homed systems
are in the scope of the same driver, the IP driver will
25   manage the whole system. However, if the subnets of routers
and multi-homed systems are across the scopes of different
drivers, a convention is needed to determine a dominant
interface: the IP driver that manages the dominant interface
will manage the router object so that the router is not

being detected and monitored by multiple drivers; each
interface is still managed by the IP driver determined by
its scope; the IP address of the dominant interface will be
assigned as the system address of the router or multi-homed
5   system; and the smallest (lowest) IP address of any
interface on the router will determine which driver includes
the router object within its scope.

Users can customize the configuration by using the
subnet priority in the scope configuration. The subnet
10   priority will be used to determinate the dominant interface
before using the lowest IP address. If the subnet
priorities are the same, the lowest IP address is then used.
Since the default subnet priority would be "0", then the
lowest IP address would be used by default.

15   With reference now to **Figure 5B**, a network diagram
depicts a network with a router that undergoes a scoping
process. IP driver D1 will include the router in its scope
because the subnet associated with that router interface is
lower than the other three subnet addresses. However, each
20   driver will still manage those interfaces inside the router
in its scope. Drivers D2 and D3 will monitor the devices
within their respective subnets, but only driver D1 will
store information about the router itself in the IPOP
database and the Topology Service database.

25   If driver D1's entire subnet is removed from the
router, driver D2 will become the new "owner" of the router
object because the subnet address associated with driver D2
is now the lowest address on the router. Because there is
no synchronization of information between the drivers, the
30   drivers will self-correct over time as they periodically
rediscover their resources. When the old driver discovers
that it no longer owns the router, it deletes the router's
information from the databases. When the new driver

discovers the router's lowest subnet address is now within its scope, the new driver takes ownership of the router and updates the various databases with the router's information. If the new driver discovers the change before the old driver

5   has deleted the object, then the router object may be briefly represented twice until the old owner deletes the original representation.

There are two kinds of associations between IP objects. One is "IP endpoint in IP system" and the other is "IP

10   endpoint in IP network". The implementation of associations relies on the fact that an IP endpoint has the object IDs (OIDs) of the IP system and the IP network in which it is located. Based on the scopes, an IP driver can partition all IP networks, IP Systems, and IP endpoints into different

15   scopes. A network and all its IP endpoints will always be assigned in the same scope. However, a router may be assigned to an IP Driver, but some of its interfaces are assigned to different to different IP drivers. The IP drivers that do not manage the router but manage some of its

20   interfaces will have to create interfaces but not the router object. Since those IP drivers do not have a router object ID to assign to its managed interfaces, they will assign a unique system name instead of object ID in the IP endpoint object to provide a link to the system object in a different

25   driver.

Because of the inter-scope association, when the IP Object Persistence Service (IPOP) is queried to find all the IP endpoints in system, it will have to search not only IP endpoints with the system ID but also IP endpoints with its

30   system name. If a distributed IP Object Persistence Service is implemented, the service has to provide extra information for searching among its distributed instances.

An IP driver may use a Security Service to check access

to IP objects. In order to handle large number of objects, the Security Service requires the users to provide a naming hierarchy as the grouping mechanism. **Figure 5C**, described below, shows a security naming hierarchy of IP objects. An

5   IP driver has to allow users to provide security down to the object level and to achieve high performance. In order to achieve this goal, the concepts of "anchor" and "unique object name" are introduced. An anchor is a name in the naming space which can be used to plug in IP networks.

10   Users can define, under the anchor, scopes that belong to the same customer or to a region. The anchor is then used by the Security Service to check if a user has access to the resource under the anchor. If users want a security group defined inside a network, the unique object name is used. A

15   unique object name is in the format of:

IP network – privateNetworkID/binaryNetworkAddress

IP system – privateNetworkID/binaryIPAddress/system

IP endpoint– privateNetworkID/binaryNetworkAddress/endpoint

For example:

20   A network "146.84.28.0:255.255.255.0" in privateNetworkID 12 has unique name:

12/1/0/0/1/0/0/1/0/0/1/0/1/0/1/0/0/0/0/0/1/1/1/0/0.

A system "146.84.28.22" in privateNetworkID 12 has unique name:

25   12/1/0/0/1/0/0/1/0/0/1/0/1/0/1/0/0/0/0/0/1/1/1/0/0/0/0/0/1/0 /1/1/0/system.

An endpoint "146.84.28.22" in privateNetworkId 12 has unique name:

12/1/0/0/1/0/0/1/0/0/1/0/1/0/1/0/0/0/0/0/1/1/1/0/0/0/0/0/1/0 30   /1/1/0/endpoint.

By using an IP-address, binary-tree, naming space, one can group all the IP addresses under a subnet in the same naming space that need to be checked by the Security

Service.

For example, one can set up all IP addresses under subnet
"146.84.0.0:255.255.0.0" under the naming space
12/1/0/0/1/0/0/1/0/0/1/0/1/0/1/0/0

5   and set the access rights based on this node name.

With reference now to **Figure 5C**, the IP Object Security
Hierarchy is depicted. Under the root, there are two fixed
security groups. One is "default" and the other is "all".
The name of "default" can be configured by within the

10 Configuration Service. Users are allowed to configure which
subnets are under which customer by using the Configuration
Service.

Under the first level security group, there are router
groups and subnet groups. Those systems that have only one

15 interface will be placed under the subnets group. Those
systems that have more than one interface will be placed
under the router group; a multi-home system will be placed
under the router group.

Every IP object has a "securityGroup" field to store

20 which security group it is in. The following describes how
security groups are assigned.

When a subnet is created and it is not configured for
any customers, its securityGroup is
"/default/subnet/*subnetAddress*". When a subnet is created

25 and it is configured in the "customer1" domain, its
"securityGroup" value is "/customer1/subnet/*subnetAddress*".

When an IP endpoint is created and it is not configured
for any customers, its "securityGroup" value is
"/default/subnet/*subnetAddress*". The subnet address is the

30 address of the subnet in which the IP endpoint is located.
When an IP endpoint is created and it is configured in the
"customer1" domain, its "securityGroup" value is
"/customer1/subnet/*subnetAddress*". The subnet address is

the address of the subnet in which the IP endpoint is located.

When a single interface IP system is created, it has the same "securityGroup" value that its interface has. When
5   a router or multi-home system is created, the "securityGroup" value depends on whether all of the interfaces in the router or multi-home system are in the same customer group or not. If all of the interfaces of the router or multi-home system are in the same customer group,
10   e.g., "customer1", its "securityGroup" value is "/customer1/router". If the interfaces of the router or multi-home system are in more than one domain, its "securityGroup" value is "/all/router".

These are the default security groups created by an IP
15   driver. After the security group is created for an object, IP driver will not change the security group unless a customer wants to change it.

The IP Monitor Controller, shown in **Figure 5A**, is responsible for monitoring the changes of IP topology and
20   objects; as such, it is a type of polling engine, which is discussed in more detail further below. An IP driver stores the last polling times of an IP system in memory but not in the IPOP database. The last polling time is used to calculate when the next polling time will be. Since the
25   last polling times are not stored in the IPOP database, when an IP Driver initializes, it has no knowledge about when the last polling times occurred. If polling is configured to occur at a specific time, an IP driver will do polling at the next specific polling time; otherwise, an IP driver will
30   spread out the polling in the polling interval.

The IP Monitor Controller uses SNMP polls to determine if there have been any configuration changes in an IP system. It also looks for any IP endpoints added to or

deleted from an IP system. The IP Monitor Controller also
monitors the statuses of IP endpoints in an IP system. In
order to reduce network traffic, an IP driver will use SNMP
to get the status of all IP endpoints in an IP system in one
5   query unless an SNMP agent is not running on the IP system.
Otherwise, an IP driver will use "Ping" instead of SNMP. An
IP driver will use "Ping" to get the status of an IP
endpoint if it is the only IP endpoint in the system since
the response from "Ping" is quicker than SNMP.

10   With reference now to **Figure 6**, a block diagram shows a
set of components that may be used to implement adaptive
discovery and adaptive polling in accordance with a
preferred embodiment of the present invention. Login
security subsystem **602** provides a typical authentication
15   service, which may be used to verify the identity of users
during a login process. All-user database **604** provides
information about all users in the DKS system, and active
user database **606** contains information about users that are
currently logged into the DKS system.

20   Discovery engine **608**, similar to discovery controller
506 in **Figure 5**, detects IP objects within an IP network.
Polling engine, similar to monitor controller **516** in **Figure
5**, monitors IP objects. A persistent repository, such as
IPOP database **612**, is updated to contain information about
25   the discovered and monitored IP objects. IPOP also obtains
the list of all users from the security subsystem which
queries its all-users database **604** when initially creating a
DSC (Device Scope Context) object. During subsequent
operations to map the location of a user to an ORB, the DSC
30   manager will query the active user database **606.**

        The DSC manager queries IPOP for all endpoint data
during the initial creation of DSCs and any additional

information needed, such as decoding an ORB address to an endpoint in IPOP and back to a DSC using the IPOPOid, the ID of a network object as opposed to an address.

As explained in more detail further below with respect to **Figure 8**, an administrator will fill out the security information with respect to access user or endpoint access and designate which users and endpoints will have a DSC. If not configured by the administrator, the default DSC will be used. While not all endpoints will have an associated DSC, IPOP endpoint data **612**, login security subsystem **602**, and security information **604** are needed in order to create the initial DSCs.

The DSC manager, acting as a DSC data consumer, explained in more detail further below, then listens on this data waiting for new endpoints or users or changes to existing ones. DSC configuration changes are advertised by a responsible network management application. Some configuration changes will trigger the creation of more DSCs, while others will cause DSC data in the DSC database to be merely updated.

All DSCs are stored in DSC database **618** by DSC creator **616**, which also fetches DSCs upon configuration changes in order to determine whether or not a DSC already exists. The DSC manager primarily fetches DSCs from DSC database **61**, but also adds runtime information, such as ORB ID, which is ultimately used to determine the manner in which the polling engine should adapt to the particular user or endpoint.

With reference now to **Figure 7A**, a flowchart depicts a portion of an initialization process in which a network management system prepares for adaptive discovery and adaptive polling in accordance with a preferred embodiment of the present invention. The process begins with the

assumption that a network administrator has already
performed configuration processes on the network such that
configuration information is properly stored where
necessary. The discovery engine performs a discovery

5   process to identify IP objects and stored those in the IPOP
persistence storage (step **702**).

The DSC creator in the DSC manager generates "initial"
DSC objects and stores these within the DSC database (step
704).

10   A source user then performs a login on a source
endpoint (step **706**). An application may use a resource,
termed a target resource, located somewhere within the
distributed system, as described above. Hence, the endpoint
on which the target resource is located is termed the

15   "target endpoint". The endpoint on which the application is
executing is termed the "source endpoint" to distinguish it
from the "target endpoint", and the user of the application
is termed the "source user".

As part of the login process, the security subsystem

20   updates the active user database for the ORB on which the
application is executing (step **708**). The initialization
process is then complete.

With reference now to **Figure 7B**, a flowchart depicts
further detail of the initialization process in which the

25   DSC objects are initially created and stored. **Figure 7B**
provides more detail for step **704** shown in **Figure 7A**.

The process shown in **Figure 7B** provides an outline for
the manner in which the DSC manager sets up associations
between users and endpoints and between endpoints and

30   endpoints. These associations are stored as special objects
termed "DSC objects". A DSC object is created for all
possible combinations of users and endpoints and for all

possible combinations of endpoints and endpoints. From one perspective, each DSC object provides guidance on a one-to-one authorization mapping between two points in which a first point (source point) can be a user or an endpoint

5   and a second point (target point) is an endpoint.

Figure 7B depicts the manner in which the DSC manager initially creates and stores the DSC objects for subsequent use. At some later point in time, a user associated with an application executing on a source endpoint may request some

10   type of network management action at a target endpoint, or a network management application may automatically perform an action at a target endpoint on behalf of a user that has logged into a source endpoint. Prior to completing the necessary network management task, the system must check

15   whether the source user has the proper authorization to perform the task at the target endpoint.

Not all network monitoring and management tasks require that a user initiate the task. Some network management applications will perform tasks automatically without a user

20   being logged onto the system and using the network management application. At some point in time, an application executing on a source endpoint may automatically attempt to perform an action at a target endpoint. Prior to completing the necessary network management task, the system

25   must check whether the source endpoint has the proper authorization to perform the task at the target endpoint in a manner similar to the case of the source user performing an action at a target endpoint.

When the system needs to perform an authorization

30   process, the previously created and stored DSC objects can be used to assist in the authorization process. By storing the DSC objects within a distributed database, a portion of the authorization process has already been completed.

Hence, the design of the system has required a tradeoff between time and effort invested during certain system configuration processes and time and effort invested during certain runtime processes. A configuration process may

5   require more time to complete while the DSC objects are created, but runtime authorization processes become much more efficient.

The DSC objects are created and stored within a distributed database during certain configuration processes

10   throughout the system. A new system usually undergoes a significant installation and configuration process. However, during the life of the system, endpoints may be added or deleted, and each addition or deletion generally requires some type of configuration process. Hence, the DSC

15   objects can be created or deleted as needed on an ongoing basis.

The present invention also provides an additional advantage by storing the DSC objects within a highly distributed database. Because the present invention

20   provides a network management system for an application framework over a highly distributed data processing system, the system avoids centralized bottlenecks that could occur if the authorization processes had to rely upon a centralized security database or application. The first DSC

25   fetch requires relatively more time than might be required with a centralized subsystem. However, once fetched, a DSC is cached until listeners on the configuration data signal that a change has occurred, at which point the DSC cache must be flushed.

30   The process in **Figure 7B** begins with the DSC manager fetching endpoint data from the IPOP database (step **710**). The IPOP database was already populated with IP objects

during the discovery process, as mentioned in step **702** of
Figure 7A. The DSC manager fetches user data from the
all-user database in the security subsystem (step **712**).
Configuration data is also fetched from the Configuration
5   Service database or databases (step **714**), such as ORB IDs
that are subsequently used to fetch the ORB address. A
network administration application will also use the
configuration service to store information defined by the
administrator. The DSC manager then creates DSC objects for
10   each user/endpoint combination (step **716**) and for each
endpoint/endpoint combination (step **718**), and the DSC object
creation process is then complete.

With reference now to **Figure 7C**, a flowchart depicts
further detail of the initial DSC object creation process in
15   which DSC objects are created and stored for an
endpoint/user combination. **Figure 7C** provides more detail
for step **716** in **Figure 7B**. The process shown in **Figure 7C**
is a loop through all users that can be identified within
the all-user database. In other words, a set of user
20   accounts or identities have already been created and stored
over time. However, all users that have been authorized to
use the system do not have the same authorized privileges.
The process shown in **Figure 7C** is one of the first steps
towards storing information that will allow the system to
25   differentiate between users so that it can adaptively
monitor the system based partially on the identity of the
user for which the system is performing a monitoring task.

The process in **Figure 7C** begins by reading scope data
for a target endpoint from the IPOP database (step **720**).
30   The DSC creator within the DSC manager then reads scope data
for a source user from the IPOP database (step **722**). A
determination is then made as to whether or not the source

user is allowed to access the target endpoint (step **724**). This determination can be made in the following manner. After the initial DSC is obtained, the source user information is used to make an authorization call to the
5 security subsystem as to whether or not the source user has access to the security group defined in the DSC. It may be assumed that the security system can perform this function efficiently, although the present invention does not depend on auto-generation of security names or security trees.
10 Once an authorization step is complete, the present system adapts the polling engine per the user/endpoint combination. The present invention should not be understood as depending upon any particular implementation of security authorization.

15 If not, then the process branches to check whether another user identity should be processed. If the source user is allowed to access the target endpoint, then a DSC object is created for the current source user and current target endpoint that are being processed (step **726**). The
20 DSC object is then stored within the DSC database (step **728**), and a check is made as to whether or not another source user identity requires processing (step **729**). If so, then the process loops back to get and process another user, otherwise the process is complete.

25 With reference now to **Figure 7D**, a flowchart depicts further detail of the initial DSC object creation process in which DSC objects are created and stored for an endpoint/endpoint combination. **Figure 7D** provides more detail for step **718** in **Figure 7B**. The process shown in
30 **Figure 7D** is a loop through all endpoints that can be identified within the IPOP database; the IPOP database was already populated with IP objects during the discovery

process, as mentioned in step **702** of **Figure 7A**. During

runtime operations, an application executing on a source

endpoint may attempt to perform an action at a target

endpoint. However, not all endpoints within the system have

5   access to requesting actions at all other endpoints within

the system. The network management system needs to attempt

to determine whether or not a source endpoint is authorized

to request an action from a target endpoint. The process

shown in **Figure 7D** is one of the first steps towards storing

10   information that will allow the system to differentiate

between endpoints so that it can adaptively monitor the

system based partially on the identity of the source

endpoint for which the system is performing a monitoring

task.

15   The process in **Figure 7D** begins by reading scope data

for a target endpoint from the IPOP database (step **730**).

The DSC creator within the DSC manager then reads scope data

for a source endpoint from the IPOP database (step **732**). A

determination is then made as to whether or not the source

20   endpoint is allowed to access the target endpoint (step **734**)

based on the scope defined in the DSC. For example, a

simple scope of X.Y.Z.* will allow an address of X.Y.Z.Q

access. If not, then the process branches to check whether

another source endpoint should be processed. If the source

25   endpoint is allowed to access the target endpoint, then a

DSC object is created for the source endpoint and target

endpoint that are currently being processed (step **736**). The

DSC object is then stored within the DSC database (step

738), and a check is made as to whether or not another

30   source endpoint requires processing (step **739**). If so, then

the process loops back to get and process another endpoint,

otherwise the process is complete.

The present invention is applicable to variety of uses, and the previous figures described a general manner in which a device scope context can be associated with a source user or a source endpoint. The following figures describe a

5   particular use of the present invention in which DSCs are used to perform polling tasks associated with determining whether or not systems are up or down.

With reference now to **Figure 8A**, a figure depicts a graphical user interface window that may be used by a

10   network or system administrator to set monitoring parameters for adaptive monitoring associated with users and endpoints in accordance with a preferred embodiment of the present invention. Window **800** shows a dialog box that is associated with a network management application. Input area **802**

15   allows a system or network administrator to set polling intervals and to specify whether the polling intervals are to be associated with a user or with an endpoint. Input field **804** allows the user to input a numerical value for the polling interval, which is the length of time between polls

20   of an endpoint. Radio button **805** allows an administrator to associate the polling interval with a specific user as specified by drop-down menu **806**. Radio button **807** allows an administrator to associate the polling interval with a specific endpoint as specified by drop-down menu **808**.

25   Input area **810** allows a system or network administrator to specify whether the user or the endpoint is to be used as a primary DSC. As described above, DSC objects are created for both a user/endpoint combination and an endpoint/endpoint combination. Radio buttons **812-814** allow

30   the user to select whether the polling time intervals of associated with the user or associated with the endpoint are to be regarded as primary or controlling. If a user is

logged onto to an ORB associated with an endpoint, such that it might be possible that the polling engine should poll on an interval associated with the network administrator, the selection of the primary DSC will determine whether the DSC should use the polling interval values associated with the user or the endpoint if available. Buttons **816** and **818** allow the user to set the values as necessary.

With reference now to **Figure 8B**, a flowchart shows a process by which the polling time parameters are set in the appropriate DSC objects after polling time parameters have been specified by an administrator. The process begins when the administrative application receives a request to set a polling interval (step **822**), e.g., when a user enters a polling interval value in window **800** in **Figure 8A**. A determination is then made as to whether or not the polling interval is to be associated with a source user (step **824**). If so, the DSC manager fetches a DSC for a specified user/endpoint combination (step **826**), and the new polling interval is added as a property to the DCS (step **828**).

If the parameter is being associated with a user, as determined in step **824**, then the process determines whether there are other target endpoints with which the polling interval should be associated (step **830**). If so, then the process loops back to step **826** to process another user/endpoint combination. If not, then the process is complete for all user/endpoint combinations.

If it is determined that the polling interval is to be associated with a source endpoint (step **832**), then the DSC manager fetches a DSC for a specified endpoint/endpoint combination (step **834**), and the new polling interval is added as a property to the DCS (step **836**). The process then determines whether there are other target endpoints with

which the polling interval should be associated (step **838**).
If so, then the process loops back to step **834** to process
another endpoint/endpoint combination. If not, then the
process is complete for all endpoint/endpoint combinations.

5   If it is determined that the polling interval is not to
be associated with a source endpoint at step **832**, then the
system can log or report an error (step **840**), and the
process is complete.

With reference now to **Figure 8C**, a flowchart shows a
10   process by which a polling time property is added to a DSC
after polling time parameters have been specified by an
administrator. The DSC manager gets a property vector from
the DKS configuration service which has stored the values
entered by the administrator in window **800** of **Figure 8A**
15   (step **850**) and sets the user-specified polling interval in
the property vector (step **852**). In other words, the DSC
manager and an administration application, such as that
shown as window **800** in **Figure 8A**, communicate via properties
stored by the configuration service. The DSC manager is
20   then instructed to add rows to the DSC database for the new
property (step **854**). The new property is advertised to
"consumers" or users of the property, as needed (step **856**),
and the process is complete.

With reference now to **Figure 8D**, a flowchart shows a
25   process for advertising newly specified polling time
properties after polling time parameters have been specified
by an administrator. The process begins with the DSC
manager determining the DSC component or DSC consumer of the
newly specified property (step **860**). The DSC consumer is
30   then notified of the updated property (step **862**), and the
process is complete.

With reference now to **Figure 9A**, a flowchart shows a

process used by a polling engine to monitor systems within a
network after polling time parameters have been specified by
an administrator.   The process begins with the system
determining the appropriate network for which the polling
5   engine is responsible for monitoring (step **902**).   After the
network is determined, then all of the systems within the
network are identified (step **904**), and all of the endpoints
within those systems are identified (step **906**).   All of
these data items are cached, as the polling engine will
10   attempt to poll each of the endpoints on the appropriate
intervals.

The polling engine then selects a target endpoint (step
908) to be polled.   A DSC object for the source endpoint for
the polling request is obtained (step **912**), and a DSC object
15   for the user logged on to the source endpoint is also
obtained (step **912**).   The polling engine then requests the
DSC manager for a DSC to be used during the polling
operation (step **914**).   The polling engine then begins
polling the target endpoint on the proper interval (step
20   **916**), and the process is complete.

It should be noted that the polling process may be
continuous; for example, the administrator has requested
that the administration application continually monitor the
status of a certain set of devices.   In other cases, the
25   administrator may be performing "demand polling" on a more
limited basis at the specific request of an administrator.
Hence, the process shown in **Figure 9A** may be part of a
continuous loop through polling tasks.

With reference now to **Figure 9B**, a flowchart shows a
30   process used by a polling engine to get a DSC for a
user/endpoint combination.   **Figure 9B** provides more detail
for step **910** in **Figure 9A**.   The process begins when the

polling engine asks the ORB for a host name (step **922**), and then the polling engine asks a domain name server for an address associated with the host name (step **924**). The IPOP Service is requested to construct an endpoint from the

5   address from the domain name server (step **926**), and the DSC manager is requested to construct a DSC object from the source endpoint and the target endpoint (step **928**). The process of obtaining this DSC is then complete.

With reference now to **Figure 9C**, a flowchart shows a

10   process used by a polling engine to get a DSC for an endpoint/endpoint combination. **Figure 9C** provides more detail for step **912** in **Figure 9A**. The process begins when the polling engine asks the security authentication subsystem for the source user that is logged onto the same

15   ORB on which the polling engine resides (step **932**). The DSC manager is requested to construct a DSC object for the source user and the target endpoint (step **934**). The process of obtaining this DSC is then complete.

With reference now to **Figure 9D**, a flowchart shows a

20   process used by a polling engine to get a DSC from the DSC manager. **Figure 9C** provides more detail for step **914** in **Figure 9A**. The process begins when the polling engine sends both newly constructed DSCs to the DSC manager (step **942**), and the DSC manager searches for a DSC within the DSC

25   database that matches one of the two newly constructed DSCs (step **944**). While it is possible to have two matches, i.e. a user/endpoint match and an endpoint/endpoint match, the selection of a primary DSC, or similarly, the system enforcement of a default primary DSC, avoid collisions. The

30   DSC manager then returns a matching DSC to the polling engine, if available, and the process is complete.

With reference now to **Figure 9E**, a flowchart shows a

process used by a polling engine to queue a polling task.
The process shown in **Figure 9E** and **Figure 9F** provides more
detail for step **916** shown in **Figure 9A**. The process begins
when a check is made as to whether a matching DSC is

5   available (step **950**). If so, then the polling time interval
is obtained from the DSC (step **952**). If not, then the
polling time interval is set to a default value for this or
all endpoints (step **954**). In either case, the polling
engine stores the polling time interval in its cache for the

10   endpoint (step **956**). A task data structure for the poll
action on the target endpoint is then queued (step **958**), and
the process is complete.

With reference now to **Figure 9F**, a flowchart shows a
process used by a polling engine to perform a polling task

15   on an endpoint. Again, the process shown in **Figure 9E** and
Figure 9F provides more detail for step **916** shown in **Figure
9A**. The process begins by retrieving the next poll task
from a task queue (step **960**). As the polling engine's main
function is to poll systems within the highly distributed

20   network, the polling engine may have a component whose sole
purpose is to manage the task queue as a large event loop.
A set of execution threads within a thread pool can be used
as a set of resources; each polling task can be placed on a
separate thread. The threads can then be blocked, put to

25   sleep, etc., while the thread awaits the completion of its
task.

The time of the last poll of the target endpoint is
then retrieved (step **962**). The last poll time is then
compared with the polling interval for the target endpoint,

30   and a check is made as to whether or not enough time has
passed since the last poll in accordance with the specified
polling interval (step **964**). If so, then a ping is sent to

the target endpoint (step **966**).

Before the polling engine asks the gateway for an application action object, such as application action object **232** shown in **Figure 2D**, the polling engine asks the DSC manager for a DSC by giving the DSC manager the source endpoint and the target endpoint. The DSC manager then looks for matches with the user/target endpoint DSC and the source endpoint/target endpoint DSC in the DSC database. If no DSC exists, then the default DSC is returned to the polling engine. If two DSCs exist, then the DSC manager will determine whether to use the user/endpoint or endpoint/endpoint DSC based on the primary DSC defined by the administrator, as explained above. If the polling engine receives no DSC, then the action is not authorized and the polling engine does not unnecessarily ask the gateway for an application action object.

At a subsequent point in time, the thread that is being used for the polling task awakes (step **968**), and a determination is made as to whether or not a good ping response has been received for the previous ping for this task (step **970**). If so, then the polling engine can report or log that the target endpoint is operational, i.e. up (step **972**), and the process for this poll task is complete.

If a good ping response has not been received, then a determination is made as to whether or not the ping has timed out (step **974**). If so, then the polling engine can report or log that the target endpoint is not operational, i.e. down (step **976**), and the process for this poll task is complete.

If the ping has not yet timed out at step **974**, then the thread again waits for the response at step **968**. If appropriate polling interval for this endpoint has not yet

passed, then the endpoint should not yet be polled again, and the process branches to exit the thread (step **978**) and process another task in the task queue.

As described above, an IP driver subsystem is implemented as a collection of software components for discovering, i.e. detecting, network "objects", such as IP networks, IP systems, and IP endpoints by using physical network connections. The collected data is then provided through other services via topology maps accessible through a GUI or for the manipulation of other applications. The IP driver system can also monitor objects for changes in IP topology and update databases with the new topology information. The IPOP service provides services for other applications to access the IP object database.

Referring again to **Figure 5A**, IP driver subsystem **500** contains a conglomeration of components, including one or more IP drivers **502**. Every IP driver manages its own "scope", and every IP driver is assigned to a topology manager within Topology Service **504**, which stores topology information obtained from discovery controller **506**. The information stored within the Topology Service may include graphs, arcs, and the relationships between nodes determined by IP mapper **508**. Users can be provided with a GUI to navigate the topology, which can be stored within a database within the Topology Service.

The topology service provides a framework for DKS-enabled applications to manage topology data. In a manner similar to the IPOP service, the topology service is actually a cluster of topology servers distributed throughout the network. All of the functions of the topology service are replicated in each topology server. Therefore, a client can attach to any server instance and

perform the same tasks and access the same objects. Each
topology-related database is accessible from more than one
topology server, which enables the topology service to
recover from a server crash and provide a way to balance the
5   load on the service.

Topology clients create an instance of a
TopoClientService class. As part of creating the
TopoClientService instance, the class connects to one of the
topology servers. The topology server assumes the burden of
10   consolidating all of the topology information distributed
over the different topology servers into a single combined
view. The topology service tracks changes in the objects of
interest for each client and notifies a client if any of the
objects change.

15   The topology service may have a server-cluster design
for maximizing availability. As long as there is at least
one instance of the topology server running, then clients
have access to topology objects and services. The topology
service design allows for servers to occasionally fail.
20   Each server is aware of the state of all the other server
instances. If one instance fails, the other servers know
immediately and automatically begin to rebuild state
information that was lost by the failed server. A client's
TopoClientService instance also knows of the failure of the
25   server to which it is connected and re-connects to a
different server. The objects residing at a failed topology
server are migrated to the other topology servers when the
drivers owning those objects have re-located.

The topology service is scalable, which is important so
30   that the service may be the central place for all network
topology objects for all of the different DKS-related
applications in order to provide efficient service for
millions of objects. As the number of clients, drivers, and

objects increase, an administrator can create more instances of topology servers, thereby balancing the workload. Using the server cluster approach, any growth in the number of clients, drivers, and objects is accommodated by simply

5   adding more servers. The existing servers detect the additional instances and begin to move clients and drivers over to the new instances. The automated load-balancing is achieved because the clients and objects are not dependent on any one server instance.

10   In order to provide a service for an entire enterprise, all of the enterprise's objects generally do not reside in the same database. There may be many reasons that make it undesirable to require that all topology objects be stored in the same database instance. For example, a database

15   simply may not be reachable across an international boundary, or the volume of information going into the database may exceed a single database's capacity. Therefore, the topology objects may span databases, and there may be relationships between objects in different

20   databases. However, it may be assumed that all topology objects in a domain reside in the same database. For example, all IP objects for a single enterprise do not necessarily reside in the same database as the enterprise's IP space may be split into many domains, e.g., a southwest

25   IP domain and a northeast IP domain, but each domain may reside in different databases and still have relations between their objects. Hence, it is possible to have two objects related to each other even though they are in different databases. Since the name of the domain is part

30   of the id of the object, each object can be uniquely identified within the entire topology service.

         When an applications is installed and configured to use the DKS services, the application provides some information

to the topology service about the different types of
TopoObjects it will be creating. This class information
closely resembles the network entities that a driver will be
managing. For example, an IP application works with

5   Network, System, and Endpoint resource types, as described
previously with respect to **Figure 4**. Giving TopoObjects a
resource type enables client applications to identify,
group, and query the databases based on domain-specific
types. Each resource type may have many different types of

10   relations that the driver may create, and the most common
type may be the containment relation, which shows the
containment hierarchy of a domain. Each relation type has a
corresponding ViewData object, which provides information
that an administrative console needs to create a view of the

15   TopoObjects. For example, the ViewData object may contain
members like BackgroundColor and LayoutType that are used to
construct a graphical display of the object. Relations can
be created between any two TopoObjects. The TopoObjects can
be owned by the same driver, different drivers in the

20   domain, or even drivers in different domains.

As mentioned previously, with a very large network of
more than a million devices, it is difficult to display the
network topology. Moreover, while a corporate network or
department-level local area network may be relatively stable

25   with a relatively unchanging topology, a very large network
may undergo constant change, which elevates the difficulty
for a system administrator to understand the dynamic nature
of a very large network. The present invention contains an
architecture that supports the display of historical views

30   of network actions and network topology, thereby providing
graphical snapshots of a dynamic network.

With reference now to **Figure 10**, a block diagram
depicts an architecture for supporting the display of

topology data within a large, distributed network in accordance with a preferred embodiment of the present invention. In a manner similar to that shown in **Figure 5A**, topology service **1002** receives data for network-related

5   objects from IP mapper **1004**; topology service **1002** is able to map data from the IP drivers and the IPOP database into smaller virtual networks upon which specific system administrators can request network actions. As described above, a distributed database holds the topology data for

10   distributed topology servers; topology database **1006** holds topology data for topology service **1002**.

DKS topology administrative console GUI application **1008** (hereinafter topology console) displays various views of the topology for current physical networks and virtual

15   networks as requested by administrative users, thereby providing real-time feedback to these users.

Because the display of the objects can morph due to physical network changes and virtual network changes, e.g., as changes in scope of responsibility for various subnets,

20   in addition to data gathered in response to actions on network-related objects, e.g., a ping or an SNMP query, the topology console receives data from the highly distributed DKS topology service. In order for administrative users to understand the manner in which the topology of the network

25   is changing, the topology console displays historical views of topological information, and the topology service supports the accumulation, storage, and management of the topological information. Topology service **1002** includes topology history manager **1010**, which contains

30   history-state-ID generator **1012** for generating unique IDs to be associated with historical information, as discussed in more detail further below.

Topology history manager **1010** manages topology history database **1014**. Topology objects, i.e. TopoObjects, are saved when changes in topology occur; rather than saving all changes to the entire device network, preferably only those
5   changes that are of interest to an administrative user are saved. In addition, a snapshot of network topology may be performed periodically, on a scheduled basis, or on request by an administrative user, thereby essentially storing an archived copy of the network topology at a given time.

10 ·Topology history database **1014** has columns or records for storing historical TopoObject information **1016**, which includes TopoObjectIDs **1018**, TopoStateHistoryIDs **1020**, and any other data fields that may be helpful in recreating TopoObjects that existed during a period of interest to an
15 administrative user, including a timestamp indicating the time and date of determined changes.

Some of the changes in topology may occur due to network actions or commands that initiated by an administrative user from the topology console. For example,
20 from the topology console, administrative users may want to customize the topology or diagnose problems within a network. An example of an action is a Wake-On-Lan message that is used to power a target system. Historical Network Action table **1022** is used to store information related to
25 user-requested actions. Columns or records that may be used within table **1022** may include: NetworkObjectID **1024** that is associated with the network-related object on which an action was performed; ActionStateHistoryID **1026** that was generated by HistoryStateID generator **1012** and associated
30 with the requested action; user information **1028** that identifies the user who initiated the action; NetworkActionID **1030** that identifies the type of network

action requested by the user; and any other information that is useful for tracking network-related actions.

With reference now to **Figure 11A**, a flowchart depicts a process for creating historical topology data in accordance with a preferred embodiment of the present invention. The process begins when one or more discovery engines scan physical networks until a new device is found (step **1102**). A determination is made as to whether or not a network object exists for the network in which the endpoint has been found (step **1104**). If not, then a network object is created (step **1106**), otherwise the process continues.

In either case, a determination is then made as to whether or not a system object exists for the system in which the endpoint has been found (step **1108**). If not, then a system object is created (step **1110**), otherwise the process continues. In either case, an endpoint object is then created for the discovered device (step **1112**), and all of the created objects are then stored within the IPOP database (step **1114**). The created objects are then mapped into the current topology (step **1116**), and the topology service creates topology objects (step **1118**) and stores them within the topology database (step **1120**). The process of discovering a physical network or device and storing appropriate information is then complete.

With reference now to **Figure 11B**, a flowchart depicts a process for listening for physical network changes that affect topology objects in accordance with a preferred embodiment of the present invention. The process begins with a determination of whether or not one or more polling engines has found a system or device that has failed (step **1130**). If not, then a determination is made as to whether or not a new device has been discovered (step **1132**). If

not, then the process loops back to continue monitoring the
networks.

If either a new device is discovered or a device has
failed, then the appropriate changes are made to the objects

5   representing the physical devices that have been affected by
updating the IPOP database (step **1134**). For example, if a
new device is found, then appropriate steps are made to
create the necessary objects in a manner similar to steps
1104-1120 in **Figure 11A**. A determination is then made as to

10   whether or not the detected change affects the topology
(step **1136**), and if not, then the process is complete.

If the topology has been affected, then the topology
database is updated as necessary (step **1138**), and a unique
TopoStateHistoryID is obtained from the StateHistoryID

15   generator (step **1140**). A loop is then entered to process
all of the affected topology objects. An affected
TopoObject is obtained from the current topology database
(step **1142**), and the topology data for the affected
TopoObject is then stored in the topology history database

20   (step **1144**) in association with the TopoStateHistoryID (step
1146). A determination is then made as to whether or not
there is another affected topology object to be processed
(step **1148**). If so, then the process branches back to step
1142 to process another TopoObject. Otherwise, the process

25   of listening for network changes and reflecting those
changes within the topology is complete.

With reference now to **Figure 11C**, a flowchart depicts a
process for rendering historical topology data in accordance
with a preferred embodiment of the present invention. The

30   process begins by presenting topological information within
a GUI to an administrative user of the topology console
application (step **1152**). The presented information shows a

description of the times and dates of the states of topology objects (TopoObjects); the states of these TopoObject may include both current and historical states, which are stored within different databases yet both managed by the topology

5   service. Each topology object (TopoObject) has previously had a unique TopoObjectID associated with it.

The user may select a particular TopoObject (step **1154**), which is associated with a particular TopoObjectID, and the user may then request a command to be performed on

10   the selected TopoObject (step **1156**), such as "Show history event". The topology console application sends a request with the appropriate TopoObjectID to the topology history manager to retrieve any historical information associated with the selected TopoObject (step **1158**). The topology

15   history manager searches through the topology history database using the TopoObjectID of the selected TopoObject (step **1160**). A determination is made as to whether or not a matching TopoObject is found in the topology history database (step **1162**), and if so, then the topology object is

20   retrieved from the topology history database (step **1164**). If not, then the topology object is retrieved from the current topology database (step **1166**).

A determination is then made as to whether the TopoObject is or was related to one or more other

25   TopoObjects (step **1168**), and if so, then those related TopoObjects are also retrieved (step **1170**). All of the retrieve information is then returned to the topology console application for subsequent display (step **1172**), and the process of retrieving historical topology information is

30   complete.

With reference now to **Figure 11D**, a flowchart depicts a process for creating historical data for network actions in

accordance with a preferred embodiment of the present
invention. The process begins when an administrative user
selects a network action to be performed on a target
endpoint within a network (step **1182**). For example, a user
5   might request a "Ping" against a device, and the
administrative application performs the action using an
application action object (step **1184**), as previously
described above. However, the DKS system also concurrently
records historical information for potential use at some
10   later point in time.

The administrative application notifies the topology
history manager of the network action (step **1186**), which
generates a unique ActionStateHistoryID (step **1188**) to be
associated with the network action; the unique
15   ActionStateHistoryID may be created by appending various
data items, such as: the TopoObjectID of the target
endpoint; the network action ID identifying the type of
network action; a unique ordinal number associated with the
state of the topology object, such as first action on this
20   object, second action on this object, etc.; and/or a
StateHistoryID obtained from the StateHistoryID generator.
The topology history manager obtains user information on the
user who has initiated the action (step **1190**), which can be
obtained based on login data, as described with respect to
25   **Figure 6**. The topology history manager then stores this
information as historical network action data within the
topology history database for subsequent use or display by
an administrative user (step **1192**), and the process is
complete.

30   With reference now to **Figure 12A**, a figure depicts a
graphical user interface window that may be used by a
network or system administrator to view the topology of a

network that is being monitored in accordance with a preferred embodiment of the present invention. Window **1200** depicts a simple network showing router device **1202**, endpoint **1204**, and endpoint **1206**. In addition, line **1208**

5   shows a relation between endpoint **1204** and router **1202**, and line **1210** shows a relation between endpoint **1206** and router **1202**. Each of the icons **1202-1206** represents a TopoObject that is maintained by the topology service.

With reference now to **Figure 12B**, a block diagram

10   depicts the contents of topology databases at a particular point in time in correspondence with **Figure 12A**. **Figure 12B** contains topology object information that has been presented as a topology map within window **1200** in **Figure 12A**. Current topology database **1222** contains TopoObjects associated with

15   TopoObjectIDs. At the same time, the topology history database **1224** is empty, as no changes in topology have occurred with respect to a period of time in which an administrative user is interested.

With reference now to **Figure 12C**, a figure depicts a

20   graphical user interface window that shows the topology of a network that has changed. Window **1230** in **Figure 12C** shows the same network as depicted within window **1200** of **Figure 12A** except that an endpoint has failed and has been deleted from the current topology. Window **1230** depicts a simple

25   network showing router device **1232**, endpoint **1234**, and line **1236** for the relation between endpoint **1234** and router **1232**.

With reference now to **Figure 12D**, a block diagram depicts the contents of topology databases at a particular point in time in correspondence with **Figure 12C**. **Figure 12D**

30   contains topology object information that has been presented as a topology map within window **1230** in **Figure 12C**. Current

topology database **1242** contains TopoObjects associated with
TopoObjectIDs with only a single endpoint. At the same
time, topology history database **1244** contains information
about changes in topology have occurred with respect to a
5   period of time in which an administrative user is
interested. In this case, all objects that were in the
topology map of interest when the change occurred have been
associated with a TopoStateHistoryID and stored within the
topology history database with associated timestamps. As
10   time moves forward, additional topology states can be
captured, and at the request of an administrative user, the
topology map at any particular point in time, i.e. for any
particular state, can be displayed within a GUI window for
the user.

15   With reference now to **Figure 12E**, a block diagram
depicts the contents of a table within a topology history
database at a particular point in time. **Figure 12E** shows
the contents of historical network action table **1252**, which
records the network actions that are requested to be
20   performed on endpoints by administrative users. As each
network action is performed, it is concurrently recorded, as
described above with respect to **Figure 11D**. The historical
data can then be viewed by an administrative user at a later
time.

25   With reference now to **Figure 12F**, a diagram shows a
pop-up box that may be used to display historical
information. **Figure 12F** shows pop-up box **1262** containing a
history of network actions that have been performed against
a particular endpoint. An administrative user may view a
30   topology map, such as the topology shown within **Figure 12A**.
Each topology object within a given state of the network is
reflected within the display with an appropriate icon or GUI

element, and the administrative user may select a GUI element to view historical information about the element in a manner similar to that described with respect to **Figure 11C**. In response to selecting a particular interface action

5 within the topology console application, additional information is displayed to the user. For example, if a user right-clicks on icon **1204** within **Figure 12A**, then pop-up box **1262** may appear next to icon **1204** to inform the user of the actions that have been performed on the physical

10 device shown as icon **1204**, which were previously stored within the historical network action table.

With reference now to **Figure 12G**, a figure depicts a graphical user interface window shows the topology of a network with two different states. Window **1270** in **Figure**

15 **12G** shows the same network as depicted within window **1230** of **Figure 12C**. Window **1270** depicts a simple network showing router device **1232**, endpoint **1234**, and line **1236** for the relation between endpoint **1234** and router **1232**.

In addition, window **1270** contains line **1272** that shows

20 a relation between endpoint **1274** and router **1232**; endpoint **1274** and line **1272** are displayed in a different manner than endpoint **1234** and line **1236** to show that these objects are not contained within the same network state. In this particular example, the difference in network topology

25 between a first state, as shown in **Figure 12A**, and a second state, as shown in **Figure 12C**, are being displayed within **Figure 12G**. This type of display may be requested by a network or system administrator to view the topology of a network over a given time period. Using the timestamp

30 information associated with each topology object in the topology history database, the topology console application can determine which objects should be identified with a

particular state at a particular time, and any changes in topology over a given time period can be displayed using different colors, different icons, or other graphical changes such that a user may easily discern the topological

5 changes. In this manner, an administrative user can initiate queries for topological information to be displayed by the topology console application in order to view one or more changes in topology over a period of time.

It should be noted that an administrative user may view

10 topological information over a period of time in a different manner. For example, a user could request to view the topology of an entire network or subnetwork at a given time. The topology console application could query the topology history database to gather information on topology objects

15 at the specified time, and those objects could then be mapped into a topology map for the specified time period. If available, a periodic or scheduled snapshot of the network topology may have been archived, thereby allowing the retrieval process to be somewhat more efficient. The

20 topology map is then displayed for the user. From this initial map, the user can view a network or subnetwork in a comprehensive manner.

The user may then request to view the topology map of a network or subnetwork at different points in time, possibly

25 moving forwards and backwards in time. In this manner, the user would be able to compare topology maps at different points in time, thereby gaining knowledge in the topology changes over a given period in time.

With either of these approaches to viewing topological

30 information over a period of time, the user may be able to determine that a plurality of similar changes in topology tend to occur over time. Using this comprehensive understanding of the network, the user could then request to

view state information for a given object in more detail in an attempt to isolate potential problems, e.g., a problem with one or more specific devices that initiates the similar changes in topology. In this manner, the user may query for
5 detailed topology maps that allow for fine-tuned analysis of potential problems.

The advantages of the present invention should be apparent in view of the detailed description of the invention that is provided above. The present invention
10 provides a flexible polling and monitoring scheme associated with network management tasks in a highly distributed system. Network administrators have the ability to create adaptive polling and monitoring tasks that are based upon a network administrator's user security context.
15 In addition, a topology service has been implemented that tracks historical information with respect to the many devices contained within the highly distributed system. The presentation of topology maps has been extended to allow an administrative user to view historical information related
20 to changes in topology and to network actions that have been requested and performed. Administrative users can more satisfactorily comprehend the dynamic nature of the networks that they are monitoring. Moreover, the users can review the actions that have been performed by the users that may
25 have resulted in changes to the topology of the network.

It is important to note that while the present invention has been described in the context of a fully functioning data processing system, those of ordinary skill in the art will appreciate that the processes of the present
30 invention are capable of being distributed in the form of instructions in a computer readable medium and a variety of other forms, regardless of the particular type of signal bearing media actually used to carry out the distribution.

Examples of computer readable media include media such as EPROM, ROM, tape, paper, floppy disc, hard disk drive, RAM, and CD-ROMs and transmission-type media, such as digital and analog communications links.

5   The description of the present invention has been presented for purposes of illustration but is not intended to be exhaustive or limited to the disclosed embodiments. Many modifications and variations will be apparent to those of ordinary skill in the art. The embodiments were chosen

10   to explain the principles of the invention and its practical applications and to enable others of ordinary skill in the art to understand the invention in order to implement various embodiments with various modifications as might be suited to other contemplated uses.

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